



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wolfgang Daum et al

Group Art Unit:

Serial No.: 09/748,441

Examiner:

For: Authentication of Remote Appliance Messages Using an Embedded Cryptographic Device

INFORMATION DISCLOSURE STATEMENT

1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]

2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]

3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]

4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

SIR:

The following are submitted in the above identified application in compliance with
37 CFR 1.97 & 1.98:

5 A list of documents on form PTO-1449 together with copies of each identified document and a translation
or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
 8 The required certification made in item 11 below; or
 9 The \$200.00 fee specified in 37 CFR §1.17(p) for submission of this
Information Disclosure Statement is authorized in item 14 below.
 10 37 CFR §1.97(d): [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition
fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to:
"Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g.
"Allowed Files, Batch _____, Date of Allowance _____"), and

b) The required Certification is stated in item 11 below.

[] 11 Certification

[] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX] 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0844. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



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Date 7/26/01

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| Form PTO-1449 (Rev. 8-83) (modified) DEC 31 2001 INFORMATION DISCLOSURE CITATION <small>Use several sheets if necessary</small> | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. 9D-HR-19614 | | SERIAL NO. Not yet assigned 09/748,441 | |
| | | APPLICANT(s): Daum, et al. | | | |
| | | FILING DATE Herewith 12/27/00 | | GROUP ART UNIT: Not yet assigned | |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-----|--------------|----------|------------------|-------|----------|----------------------------|
| EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | A1 | 4,520,451 | 05/28/85 | McLaughlin | 364 | 900 | |
| | A2 | 4,766,548 | 08/23/88 | Cedrone et al. | 364 | 479 | |
| | A3 | 5,091,713 | 02/25/92 | Horne et al. | 340 | 541 | |
| | A4 | 5,142,694 | 08/25/92 | Jackson, et al. | 455 | 67.1 | |
| | A5 | 5,708,963 | 01/13/98 | Mobley et al. | 455 | 12.1 | |
| | A6 | 5,844,808 | 12/01/98 | Konsmo et al. | 364 | 479.14 | |
| | A7 | 5,892,900 | 04/06/99 | Ginter et al. | 395 | 186 | |
| | A8 | 5,910,987 | 06/08/99 | Ginter et al. | 380 | 24 | |
| | A9 | 5,924,081 | 07/13/99 | Ostendorf et al. | 705 | 30 | |
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| | A11 | 5,958,869 | 09/28/99 | Miller et al. | 364 | 479.01 | |
| | A12 | 6,038,551 | 03/14/00 | Barlow et al. | 705 | 41 | |
| | A13 | 6,061,668 | 05/09/00 | Sharrow | 705 | 400 | |
| | A14 | 6,073,134 | 06/06/00 | Shoup et al. | 707 | 100 | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--|--------------|------------------|---------|-------|----------|-------------|
| EXAMINER INITIAL | | DOCUMENT NO. | PUBLICATION DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | | YES NO |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
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| EXAMINER | DATE CONSIDERED: |
| <small>*EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small> | |